

Tracking Aerosol Convection Interaction Experiment (TRACER) Model Intercomparison Project (MIP) Roadmap

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1. Introduction

The DOE ARM Tracking Aerosol Convection Interaction Experiment (TRACER) campaign (<https://www.arm.gov/research/campaigns/amf2021tracer>) took place in the Houston, TX region from 01 October 2021 through 30 September 2022, with an IOP from June-September 2022, which collected a comprehensive dataset focused on the evolution of convective clouds and their environment (including aerosol, cloud, thermodynamics, and lightning). A unique component of TRACER is that a large number of individual, isolated convective cells were tracked and measured with high spatial and temporal resolution. These comprehensive, unique observational datasets can help evaluate model and parameterization performance, identify model and parameterization deficiencies, and gain new insights to improve models. This provides the motivation for conducting an additional community model intercomparison project (MIP) based on the previous Aerosol Cloud Precipitation Climate (ACPC) Deep Convective Cloud (DCC) MIP (ACPC-MIP; van den Heever et al. 2017; Marinescu et al. 2021; Saleeby et al. 2025; van den Heever et al. 2025), which is referred to as the TRACER-MIP.

2. Goals and Hypotheses of the TRACER-MIP

Goals:

- i) Quantify the inter-model spread in representation of aerosol-convection interactions (ACI), identify model deficiencies, and measure model performance.
- ii) Examine factors/processes leading to the model biases and model spread, both of which were less emphasized in the previous ACPC-MIP. This effort will ultimately help reduce the ACI uncertainty.

Hypotheses:

- i) The different representations of condensation and ice microphysics are a major source of inter-model spread, thus, leading to the main model differences in the simulation of ACI.
- ii) The models that reproduce the observed cases and employ explicit calculation of condensation give qualitatively consistent ACI effects, particularly for the effect of ultrafine particles.

3. Approach and Cases

The TRACER-MIP follows and builds upon the ACPC-MIP. The ACPC-MIP roadmap document can be found at: http://acpcinitiative.org/Docs/ACPC_DCC_Roadmap_171019.pdf.

The TRACER-MIP has the following new features:

- Extensive model evaluation against observations.

- Two golden cases with varying dynamic, thermodynamic, and aerosol conditions. Ultrafine aerosol will be considered. Two tiers: prescribed and prognostic aerosols.
- More detailed focus on factors/processes leading to model biases and large model spread.

Two cases were chosen to simulate from among several “Golden” TRACER cases, which are June 17 and August 7, 2022 (Figures 1 and 2). Cases below were chosen since they met the following criteria:

- Data available - SMPS aerosols (with ultrafine aerosols measured), soundings (5 per day), NEXRAD CAPPI, C-SAPR cell tracking
- Seabreeze present, convection observed, and cells tracked

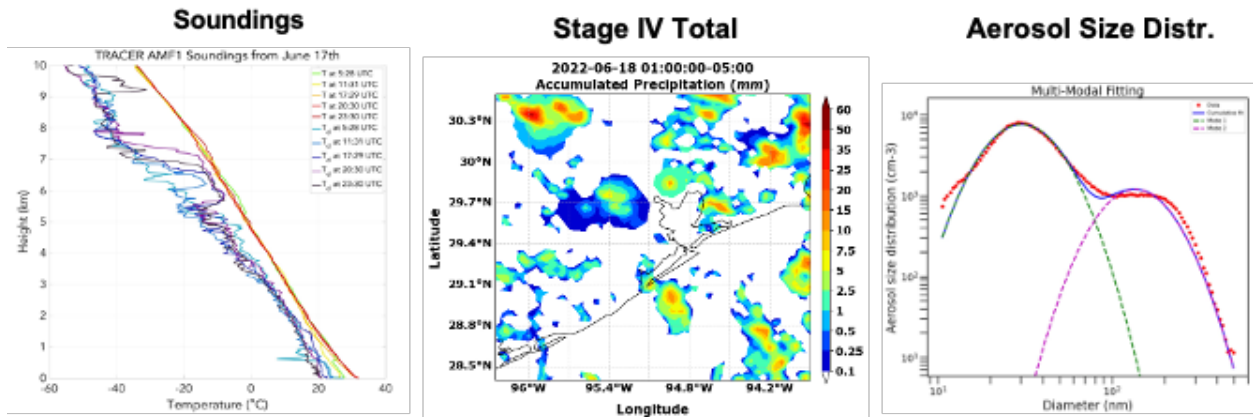


Figure 1: The soundings (left), Stage IV precipitation (middle), and the pre-convective aerosol size distribution (right) measured at the TRACER main site by SMPS for the June 17 case.

The June 17 case has widespread convection, featured with an afternoon sea breeze induced thunderstorm in the Houston area (Figure 1) with a high aerosol condition ($\sim 4000 \text{ cm}^{-3}$; $> 10 \text{ nm}$). This case has aircraft measurements from the co-current NSF ESCAPE field campaign. The August 7 case has a morning sea breeze front and a thunderstorm in the early afternoon in the Houston area with relatively cleaner aerosol conditions ($\sim 1700 \text{ cm}^{-3}$; $> 10 \text{ nm}$).

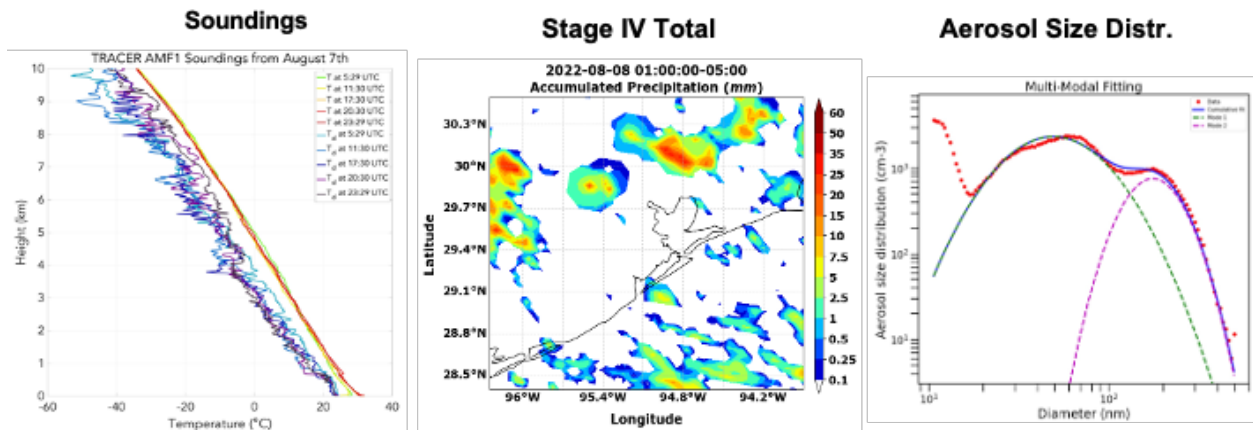


Figure 2: The soundings (left), Stage IV precipitation (middle), and the pre-convective aerosol size distribution (right) measured at the TRACER main site by SMPS for the August 7 case.

A TRACER-MIP GitHub page (<https://arm-synergy.github.io/tracer-mip/Roadmap.html>) has been established for sharing this roadmap document as well as other documentation as we proceed such as updates, analysis codes, model & parameterization descriptions, etc. Please check back for more information from this site.

4. Simulations Summary

For each case described above, we are requesting three simulations:

- Control simulation using the pre-convective aerosol profiles with the 2 aerosol modes. (See the dual modes represented in the right panels of figures 1 & 2.)
- Same as control but with aerosol number concentration of each mode **3x higher**.
- Same as control but with aerosol number concentration of each mode **3x lower (i.e., multiple by a coefficient of 0.3)**.

Details of the simulation design and initialization are provided in the sections that follow.

5. Model Setup

We ask all participants to use the following model configuration given in the table below. The nested grid domains are shown below in Figure 3. The inner domain Grid-2 is the same as the innermost nest from the ACPC-MIP. Table 1 presents model setup details. For each of the aerosol sensitivity simulations discussed below, to avoid the complications from size distribution change, we ask to keep the shape of the aerosol size distributions identical. We will solely change the initial aerosol number concentration vertical profiles by multiplying the observed surface number concentration by the coefficients (3x and $\frac{1}{3}$ x) and generating the associated initialization vertical profiles for the sensitivity simulations. This means we are exploring the effect of aerosol number changes on clouds only. We also ask that all participants provide a file that contains a description of their model, descriptions of the parameterizations (i.e., microphysics, turbulence, land surface, etc.) used with associated references, and an overview of the output variable names and units. The table of requested output variables and units is provided in Table 2 . Please conform to this request of variables and units as much as possible.

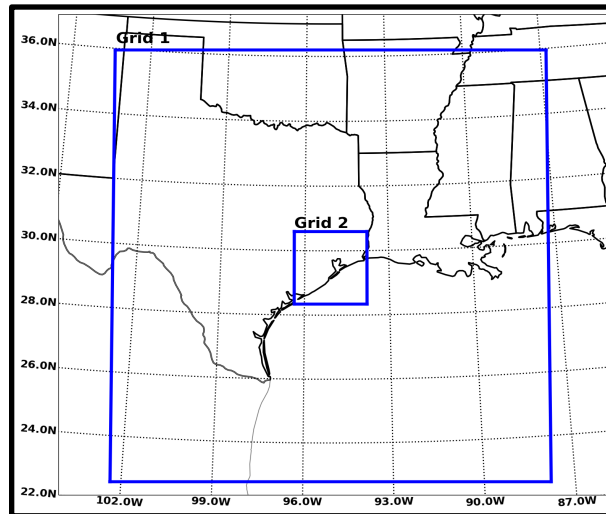


Figure 3: Simulation 2-grid nested domains centered over the NEXRAD radar site near Houston, Texas.

Table 1: Model setup details

Model Configuration	Setup
Simulation Start	0600 UTC 17 June & 0600 UTC 7 Aug 2022
Total run hours	24 hours
Initialization and boundary data	ERA-5 0.25-degree reanalysis (link)
Number of model nests	2, one-way nesting only (no interactive nests), all nests share the same center lat/lon
Map Projection	polar stereographic or similar model option
Grid centers latitude; longitude	Grid-1: 29.4719; -95.0792 Grid-2: 29.4719; -95.0792
Latitude and Longitude ranges of each domain (from north polar stereo grid)	Grid-1 NW corner: 35.941, -103.376 SW corner: 22.552, -102.347 NE corner: 35.941, -86.782 SE corner: 22.552, -87.812 Grid-2 NW corner: 30.602, -96.378 SW corner: 28.348, -96.350 NE corner: 30.602, -93.759 SE corner: 28.348, -93.788
Horizontal grid spacing of each nest	Grid-1: 2000m; Grid-2: 500m
Number of horizontal grid points in each nest	2000m nest: 750 x 750 grid points 500m nest: 500 x 500 grid points (or closest numbers of grid points your model will allow)
Model Top	Approx. 22 km / 50 hPa; please use provided specified levels
Vertical levels	95 levels (same as ACPC MIP; see list below in meters AGL) -24, 24, 76, 130, 186, 245, 308, 373, 442, 514, 590, 669, 752, 840, 932, 1028, 1130, 1236, 1348, 1466, 1589, 1718, 1854, 1997, 2147, 2304, 2470, 2643, 2825, 3016, 3217, 3428, 3650, 3882, 4126, 4383, 4652, 4935, 5230, 5531, 5831, 6131, 6431, 6731, 7031, 7331, 7631, 7931, 8231, 8531, 8831, 9131, 9431, 9731, 10031, 10331, 10631, 10931, 11231, 11531, 11831, 12131, 12431, 12731, 13031, 13331, 13631, 13931, 14231, 14531, 14831, 15131, 15431, 15731, 16031, 16331, 16631, 16931, 17231, 17531, 17831, 18131, 18431, 18731, 19031, 19331, 19631, 19931, 20231, 20531,

	20831, 21131, 21431, 21731, 22031
Geographic / topography data	Use highest resolution available
Timestep	Grid-1: 3 seconds, Grid-2: 1.5 seconds Or appropriate timesteps for your model.
Coriolis	On
Convection	No convection or cumulus schemes
Land-surface model	Please use an interactive land-surface model with a urban physics model if available
Cloud microphysics	Two-moment bulk or bin scheme with prognostic droplet number concentrations
Aerosol setup	Two tiers: Tier 1: fixed aerosols at each model step; Tier 2: Interactive aerosols during the model integration processing optional. Initial aerosol profiles provided (see the aerosol section)
Frequency of model output	Grid-1: 60-min full simulation Grid-2: 10-min full simulation Grid-2: 2-min (for cell tracking) from 1700 UTC event day - 0100 UTC next day (i.e., 12 PM LT - 8 PM LT Houston, TX)
Aerosol - radiation coupling	Radiatively Inactive aerosols
Diffusion / PBL	Please use the best option for your model. Please call every timestep.
LW and SW Radiation	Please use the best option for your model. Please call every 60 seconds.

6. Output of Model Variables

Table 2 describes the necessary model variables to output and the associated units. If your model writes all variables for each grid and time to an individual file, then please provide the full output files (one file per grid per time for each grid). Please also provide a separate document that outlines (1) assumptions and parameters used to define the hydrometeor and aerosol size distributions and the aerosol Tier option, (2) mass-diameter relationships and fall speed equations for each hydrometeor class (or equivalent for your model), and (3) ice category properties. Please note the details regarding the output diagnostic microphysical process rates and their units. For models to participate in the process rate analysis, these rates need to be provided in the requested units.

Table 2: Model outputs required to submit.

Atmospheric State (3D)
Pressure (Pa or hPa)
Temperature (K or C)
Height (m)
Air density (kg/m ³)
U-wind (m/s) (east is +)
V-wind (m/s) (north is +)
W-wind (m/s) (up is +)
Water Variables (3D)
Water vapor mixing ratio (kg/kg)
Cloud water mixing ratio (kg/kg)
Cloud droplet number concentration (#/kg)
Rain water mixing ratio (kg/kg)
Raindrop number concentration (#/kg)
qX, nX (kg/kg, #/kg): Provide hydrometeor mass mixing ratios and number concentration for each X ice hydrometeor class in your model.
2D Variables
Geographic latitude / longitude (degrees)
Topography (m)
Instantaneous surface precipitation rate (mm/sec)
Surface precipitation accumulated over simulation (mm)
Sea-level pressure (Pa or hPa)
Surface sensible and latent heat fluxes (W/m ²)
Surface albedo (fraction)
Surface and TOA upward and downward SW and LW radiative fluxes (W/m ²) (8 total radiation variables here)
2-m temperature and 10-m wind if available.
Aerosol Variables (if the interactive aerosol option - Tier 2 is used)
Aerosol mass mixing ratio (kg/kg) (separately for all available aerosol modes)
Aerosol number concentration (#/kg) (separately for all available aerosol modes)
Aerosol effective radius or median radius of the distribution (m) (separately for all available aerosol modes)
Microphysical Process Rates (3D)
Latent heating and cooling (K/sec) (<i>heating +, cooling -</i>): (<i>this should be the net sum of heating/cooling rather than separate heating and cooling variables</i>)

Liquid condensation, Liquid evaporation (kg/kg per output time interval): (<i>separate variables for condensation and evaporation rather than the net sum of both</i>)
Ice deposition, Ice sublimation (kg/kg per output time interval): (<i>separate variables for deposition and sublimation rather than the net sum of both</i>)
Melting, Freezing (<i>totals from various mechanisms</i>) kg/kg per output time interval: (for melting – should be total melting from all sources such as collisions and in situ melting) (for freezing – should include all in-situ freezing of cloud droplet and raindrops including heterogenous freezing and homogeneous freezing of cloud droplets except riming)
Cloud droplet nucleation (#/mg per output time interval) (number mixing ratio of nucleated droplet rate)
New ice crystal nucleation rate ((#/mg per output time interval): (from all new ice crystal formation mechanisms including heterogenous ice nucleation, haze nucleation and homogeneous freezing of cloud droplets). This does not include secondary ice production. Please provide a list of the ice nucleation mechanisms that your model considers.
Riming of cloud droplets (kg/kg per output time interval): (this is the mass of cloud water removed from the cloud droplet category due to collection by ice species)
Riming of rain drops (kg/kg per output time interval): (may be combined with riming of cloud droplets for bin models) (this is the mass of rain water removed from the rain category due to collection by ice species)
Autoconversion + Accretion (kg/kg per output time interval): (<i>this is the conversion of cloud water to rain water through collision processes of liquid drops</i>)
*For all microphysical process rates (aside from latent heating), units are (kg/kg/second) or (kg/kg per output time interval) where “per output time interval” is the sum of the rates between output writing times. For example, if output files are written every 2-minutes, the process rates are the integrated sum (at each grid cell) over that 2-minute period of time. “Output time interval” is preferred so that the average rate between model output writing time can be computed. Please be clear which units are used for process rates.

7. Data Submission and Timeline

Data storage is provided by the DOE National Energy Research Scientific Computing Center (NERSC) supercomputer.

Data Upload:

- Apply for a NERSC user account at (<https://docs.nersc.gov/accounts/us>). Send Steve Saleeby (Stephen.Saleeby@colostate.edu) and Jiwen Fan (fanj@anl.gov) the name & email of the person uploading the data. We will then send the information needed for filling out the form.
- Upload the data at:

https://app.globus.org/file-manager?origin_id=424af416-7ca5-4907-8e0f-811473cd84d0&two_pane=false

through web transfer by clicking on “Upload” or your Globus server, using the NERSC identity.

Please reach out to us if you want to participate so that you can receive further guidance from us about this MIP. If you need computation time support from NERSC for participating in this activity, please let us know.

Timeline: We plan to show some preliminary results in the next ACPC workshop (May 2025). For teams that wish to have their model results included in a TRACER-MIP preliminary presentation at the ACPC meeting, we need to receive data submissions by February 1, 2025. The final deadline for submitting TRACER-MIP model results is July 1, 2025.

8. Aerosol Initialization

The aerosol initial conditions for each case have been constructed via a combination of (1) the surface aerosol particle size distributions from the SMPS at the TRACER AMF1 site in LaPorte, TX (courtesy of Tamanna Subba and Chongai Kuang from BNL) and (2) the aerosol vertical shape profile derived from coincident micropulse lidar and radiosonde data that are used to compute the cloud-free humidity corrected aerosol backscatter coefficient profile (courtesy of Bo Chen, Anita Rapp, & Sarah Brooks from Texas A&M Univ). The lidar retrieved backscatter coefficient profile is further corrected for the effect of aerosol hygroscopic growth under higher humidity. For details, please refer to Chen et al. (2024). Note that vertical profiles of CCN and ice nucleating particles (INPs) derived from surface based measurements and the micropulse lidar are also available as discussed in Chen et al. (2024). The 2-mode aerosol size distribution is shown in Table 3 and Figure 4 with an ultrafine aerosol mode peaking at 30 nm (June 17) and 50 nm (Aug. 7) in diameter, and an accumulation mode peaking at 135 nm (June 17) and 175 nm (Aug. 7). Aerosol mode characteristics for each case are shown in Appendices A & B and summarized in Table 3.

Table 3: The 2-mode aerosol size distribution specification

June 17, 2022	Mode-1	Mode-2	Aug 7, 2022	Mode-1	Mode-2
Aerosol Number ($\rho_{\text{sfc}} \sim 1.159 \text{ kg m}^{-3}$)	3443 cm^{-3} (2970 mg^{-1})	531 cm^{-3} (458 mg^{-1})	Aerosol Number ($\rho_{\text{sfc}} \sim 1.159 \text{ kg m}^{-3}$)	1425 cm^{-3} (1229 mg^{-1})	263 cm^{-3} (226 mg^{-1})
Median Diameter	30 nm	136 nm	Median Diameter	49 nm	175 nm
Mode Sigma	1.5	1.5	Mode Sigma	1.8	1.4

The aerosol number concentrations were originally provided in volume units of cm^{-3} . To apply this to models with aerosols in number and mass units of kg^{-1} and kg kg^{-1} , convert the volume units to mixing ratio using a representative surface air density (1.159 kg m^{-3}) near the AMF1 site.

Figure 4 shows the idealized vertical profile of normalized aerosol concentration derived from the Chen et al. (2024) methodology described above using the TRACER observations from 1200-1400 LT on 7 Aug 2022 (right panel). The data for this type of analysis were not available on 17 June, so we use this profile as being reasonably representative of the Houston area during the IOP, and the left panel of Figure 4 shows the aerosol vertical distribution for the 17 June. These are the profiles to be used in the control simulations for the two aerosol modes identified from the pre-convective time periods on 17 June and 7 August.

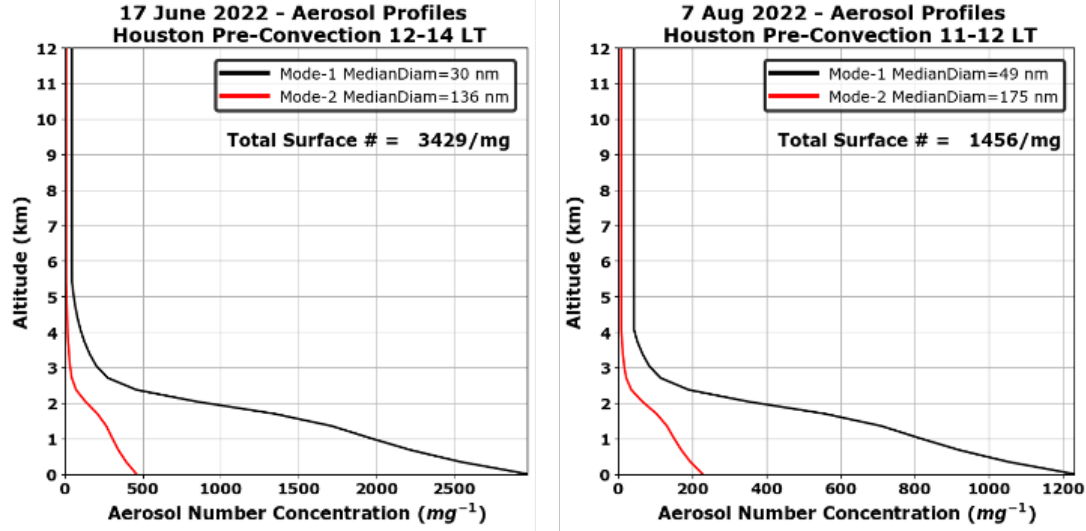


Figure 4: The derived aerosol concentration ($\# \text{ mg}^{-1}$) vertical profiles of two fitted aerosol modes from AMF1 observations taken during the pre-convective periods on 17 June (left) and 7 August (right), respectively.

The shape profile in the left panel above is represented by the following function fit to the dry aerosol backscatter (and then scaled from 0 to 1):

$$X(k) = \left\{ X_0 e^{-\gamma k} - X_{erf} \operatorname{erf} \left(\frac{k - k_m}{s} \right) + X_c \right\}$$

for $k \leq 6.0$ km, otherwise $X(k) = X(6.0)$

Here $X_0 = 4.8585$, $\gamma = 0.7581$, $X_{erf} = 1.4085$, $k_m = 1.9452$, $s = 0.5194$, $X_c = 1.4415$ and k = altitude height in km.

The aerosol surface concentrations in $\# \text{ mg}^{-1}$ were then applied to the idealized vertical shape profile (Figure 4, left) to arrive at the case study control simulation shape profiles in Figure 4 (center, right). The vertical profiles apply an additional constraint such that the total (mode-1 + mode-2) number concentration does not drop below 50 mg^{-1} at any altitude and scales with the surface aerosol number concentrations. Details of this application can be found in the Jupyter notebook linked below.

The link to the Jupyter notebook with aerosol number concentration control simulation vertical profiles is found at:

https://github.com/ARM-Synergy/tracer-mip/blob/main/Pyplt.TRACER_Aerosol_Profiles_MIP.ipynb

It is used for plotting the initial aerosol vertical profiles and for viewing the precise aerosol concentration at each vertical level for each aerosol mode. This code can be readily adapted to individual MIP model coding language (e.g., Fortran) for initializing aerosol profiles. Aerosol profiles are to be initialized horizontally, homogeneously across the domains of both grid nests.

Initialization of aerosol vertical profiles for the **3 x higher** and **3 x lower** sensitivity simulations simply requires **3 x** or **1/3 x** of the provided surface aerosol concentrations ($\# \text{ cm}^{-3}$) of each mode, conversion to mixing ratio units based on provided air density ($\# \text{ mg}^{-1}$), and then re-creation of

the vertical profiles based on those updated numbers. If your model can represent the aerosol distribution **mode sigma**, please use the sigma values provided in the table; otherwise use your model's default values. For models that can represent **aerosol hygroscopicity**, we are using a single and constant bulk **kappa value of 0.26** (Figure 5) which corresponds to the full TRACER campaign 50th percentile value of the bulk kappa derived from the AMF1 Aerosol Observing System (AOS) at LaPorte, TX (courtesy of Maria Zawadowicz, BNL).

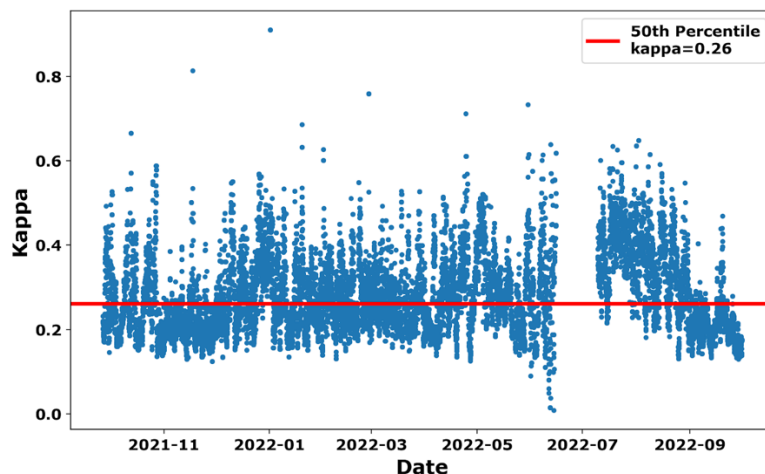


Figure 5: Full TRACER time series of diagnosed aerosol bulk kappa values from the integrated analysis of the AMF1 AOS at LaPorte, TX. This was derived primarily from the measurements of bulk aerosol composition (and HT-DMA for validation). The campaign 50th percentile value of $k=0.26$ will be used for the MIP models that factor in aerosol bulk hygroscopicity. Kappa data analysis provided by Maria Zawadowicz from BNL.

Appendix A: Additional summary of June 17, 2022 case study event

- Seabreeze convection and large-scale convection scattered over the Houston area domain.
- Overlaps with ESCAPE (aircraft & ground operations).
- Mostly clean marine aerosols.
- SMPS data collected. ACSM data not collected.
- NU-WRF realtime modeling skill score: 71

The figures that follow provide information for the July 17 event including daily soundings (Fig. A.1), observed precipitation (Fig. A.2), GOES GeoColor imagery 2-hourly (Fig A.3), NEXRAD composite reflectivity 2-hourly (Fig. A.4), cell distribution from NEXRAD data using MCIT cell tracking (Fig. A.5), and SMPS aerosol conditions for the day and for model initial surface aerosol concentration (Fig A.6).

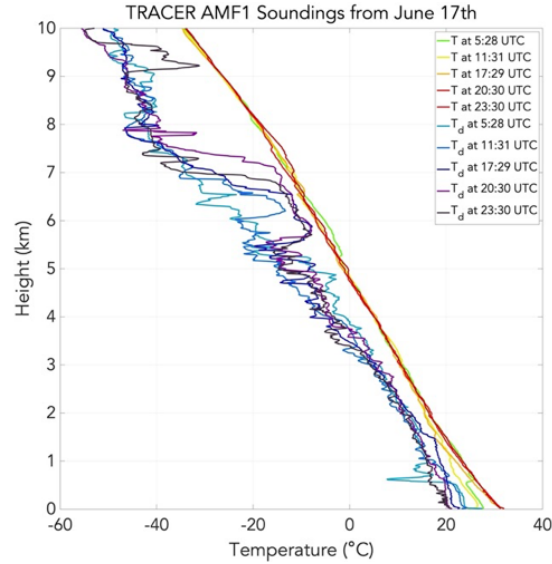


Figure A.1: June 17, 2022 TRACER AMF1 radiosonde soundings overlaid for the event day.

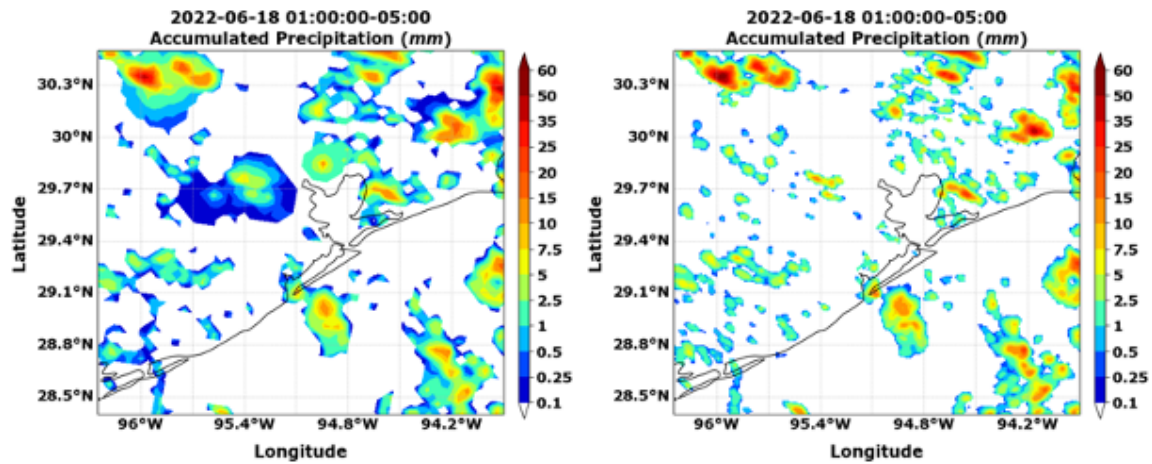


Figure A.2: June 17, 2022 event accumulated precipitation (18 hour accumulation from 1200 UTC event day) from the Stage-IV 4-km (left) and Multi-Radar / Multi-Sensor (MRMS) 1-km (right) gridded precipitation.

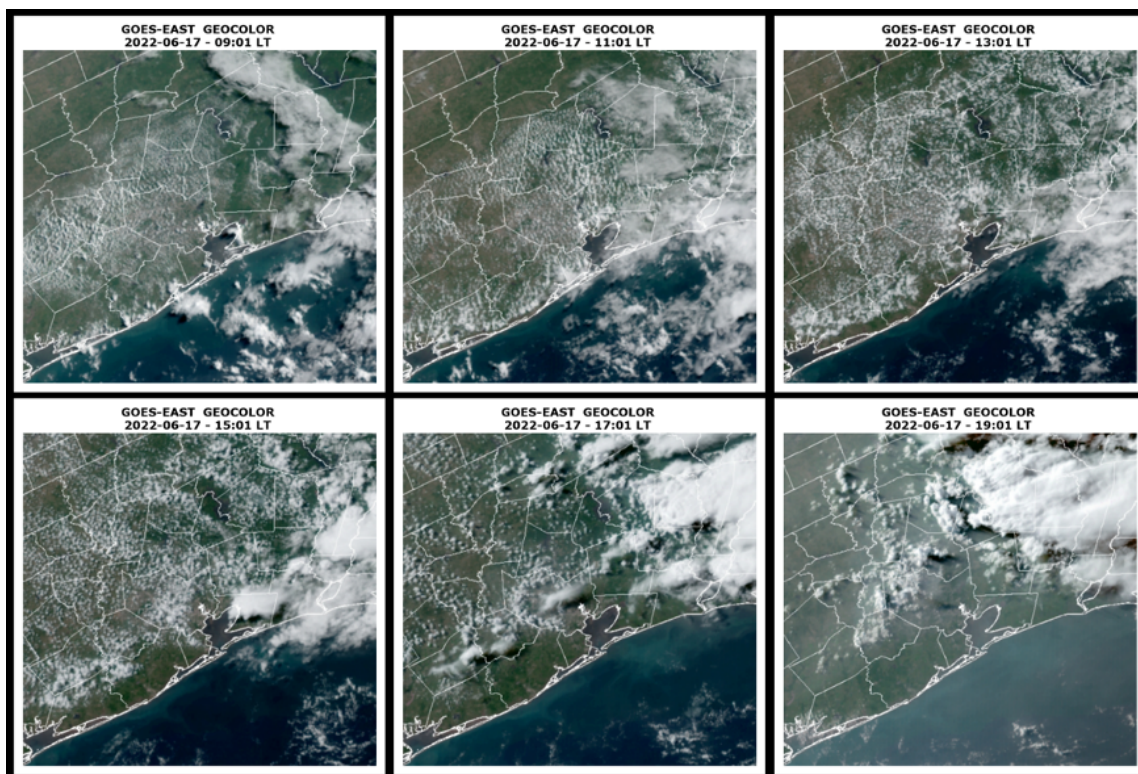


Figure A.3: June 17, 2022 GeoColor satellite imagery every 2-hours during the daytime convective period shown in Local Time LT (courtesy of CIRA/NOAA).

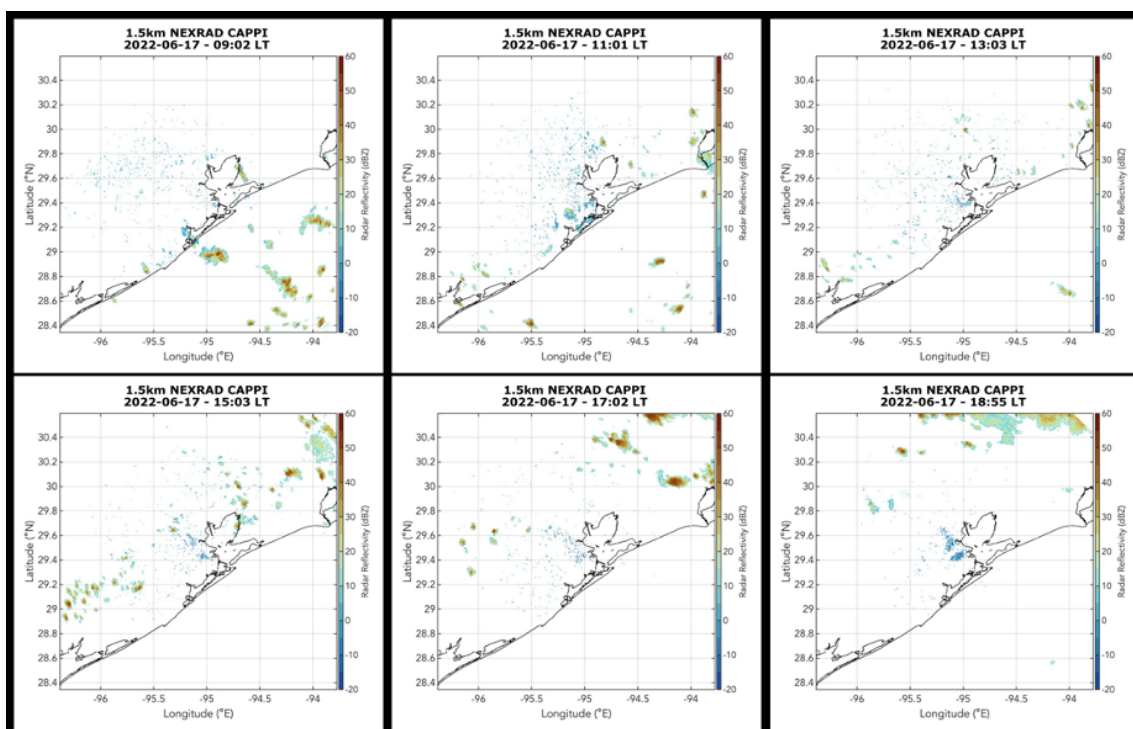


Figure A.4: June 17, 2022 NEXRAD CAPPI at 1.5km AGL every 2-hours during the daytime convective period shown in Local Time LT (courtesy of Zackary Mages, Stonybrook University).

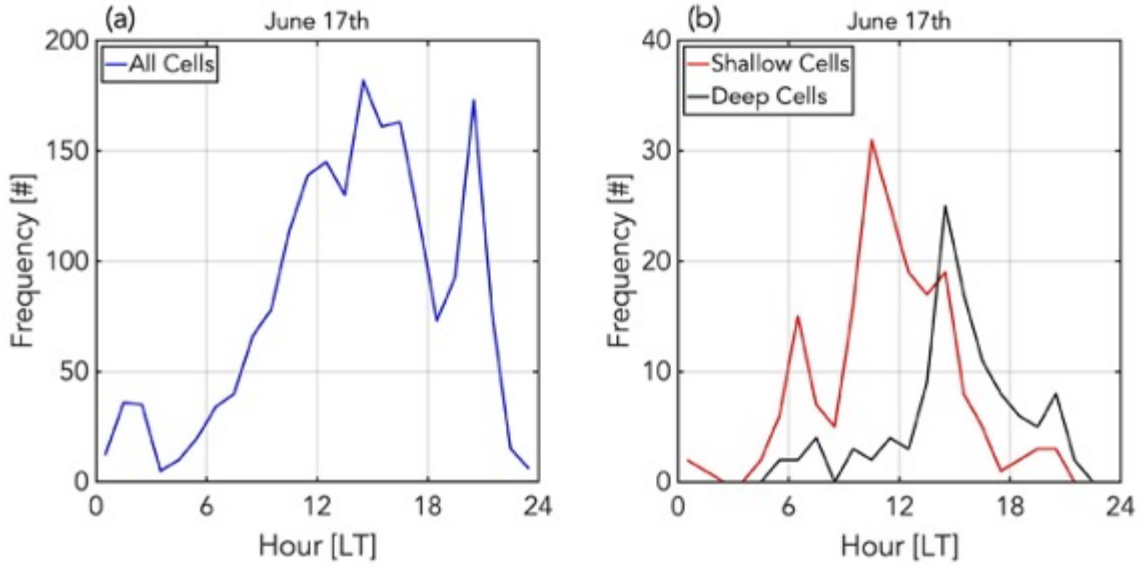


Figure A.5: June 17, 2022 frequency of occurrence of tracked cells using NEXRAD data and MCIT cell tracking algorithm (courtesy of Zackary Mages, Stonybrook University).

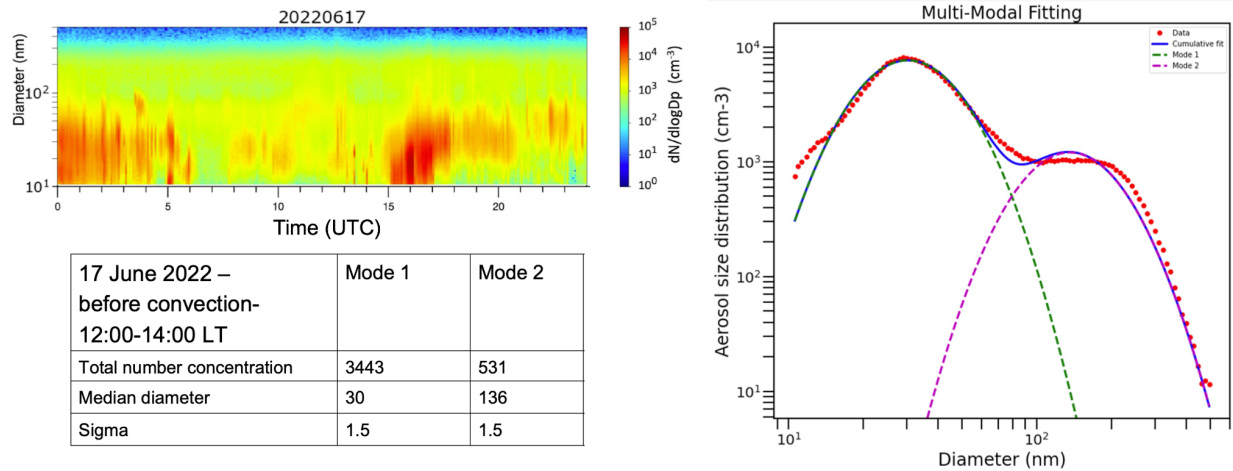


Figure A.6: June 17, 2022 (top left) diurnal variation of size distribution from SMPS, (right) multi-modal aerosol size distribution curve fitting, and (lower left) pre-convective aerosol distribution characterization values for model control run simulations. (Number concentrations are in units of $\text{\#}/\text{cm}^3$ and median diameters are in units of nanometers). (courtesy of Tamanna Subba and Chongai Kuang, BNL)

Appendix B: Additional summary of August 7, 2022 case study event

- Early sea-breeze, consistent onshore flow. Isolated convection day.
- Moist throughout the column.
- CHIVO Radar available and TAMU Observations available.
- Polluted aerosols early, clean marine after sea-breeze.
- SMPS & ACSM data were collected.
- NU-WRF realtime modeling skill score: 70

The figures that follow provide information for the July 17 event including daily soundings (Fig. B.1), observed precipitation (Fig. B.2), GOES GeoColor imagery 2-hourly (Fig B.3), NEXRAD composite reflectivity 2-hourly (Fig. B.4), cell distribution from NEXRAD data using MCIT cell tracking (Fig. B.5), and SMPS aerosol conditions for the day and for model initial surface aerosol concentration (Fig B.6).

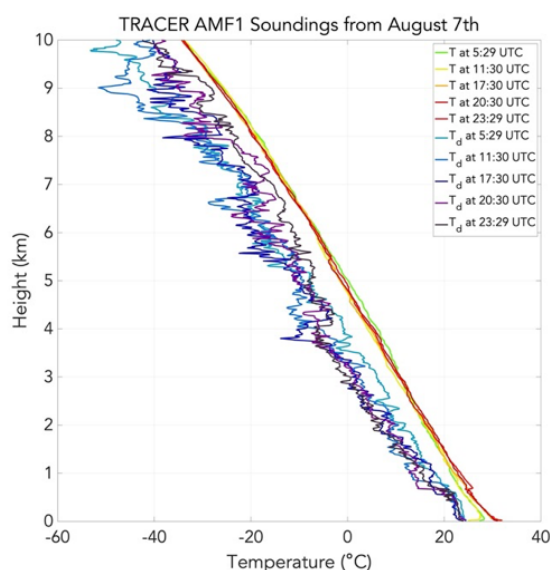


Figure B.1: August 7, 2022 TRACER AMF1 radiosonde soundings overlaid for the event day.

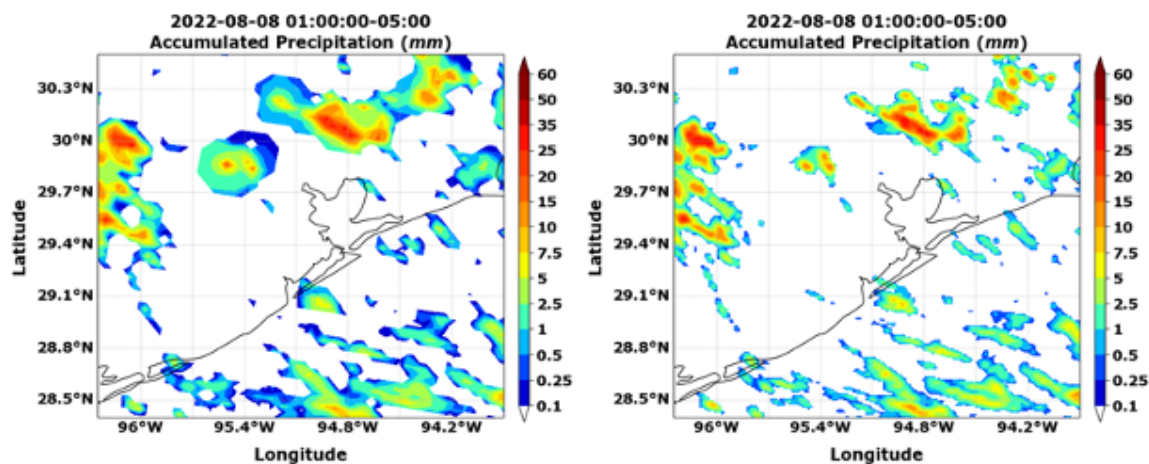


Figure B.2: August 7, 2022 event accumulated precipitation (18 hour accumulation from 1200 UTC event day) from the Stage-IV 4-km (left) and Multi-Radar / Multi-Sensor (MRMS) 1-km (right) gridded precipitation.

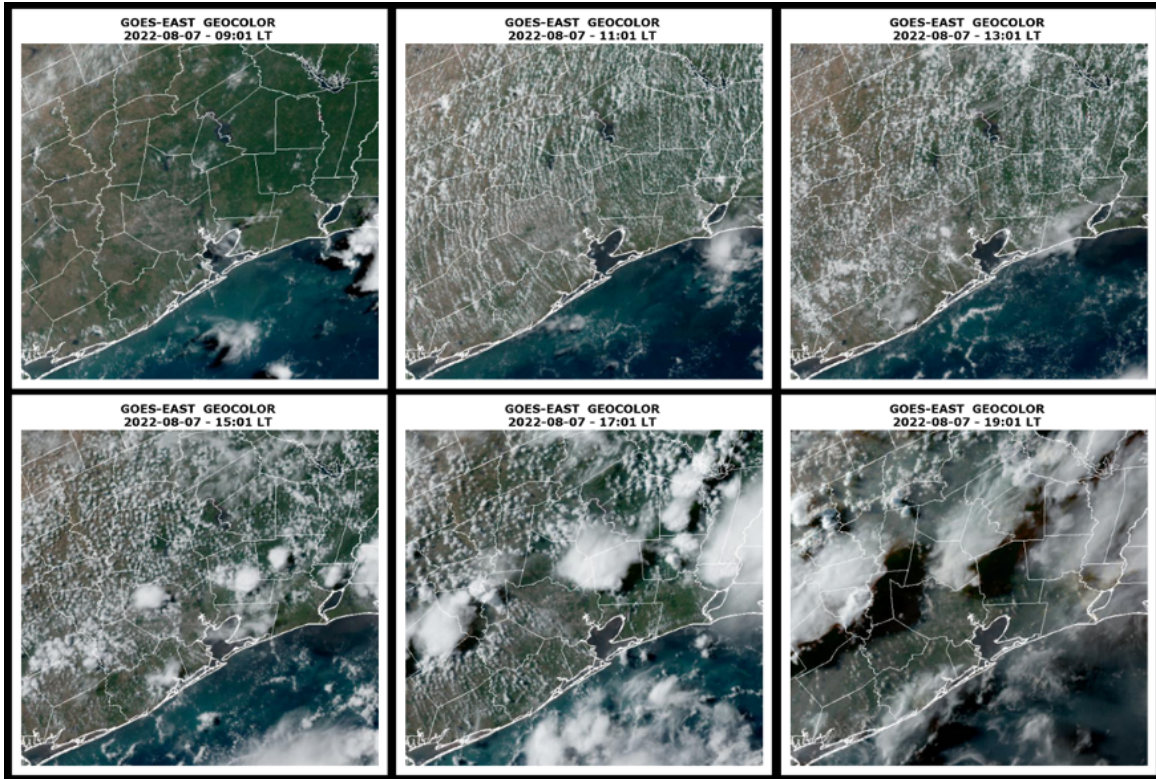


Figure B.3: August 7, 2022 GeoColor satellite imagery every 2-hours during the daytime convective period shown in Local Time LT (courtesy of CIRA/NOAA).

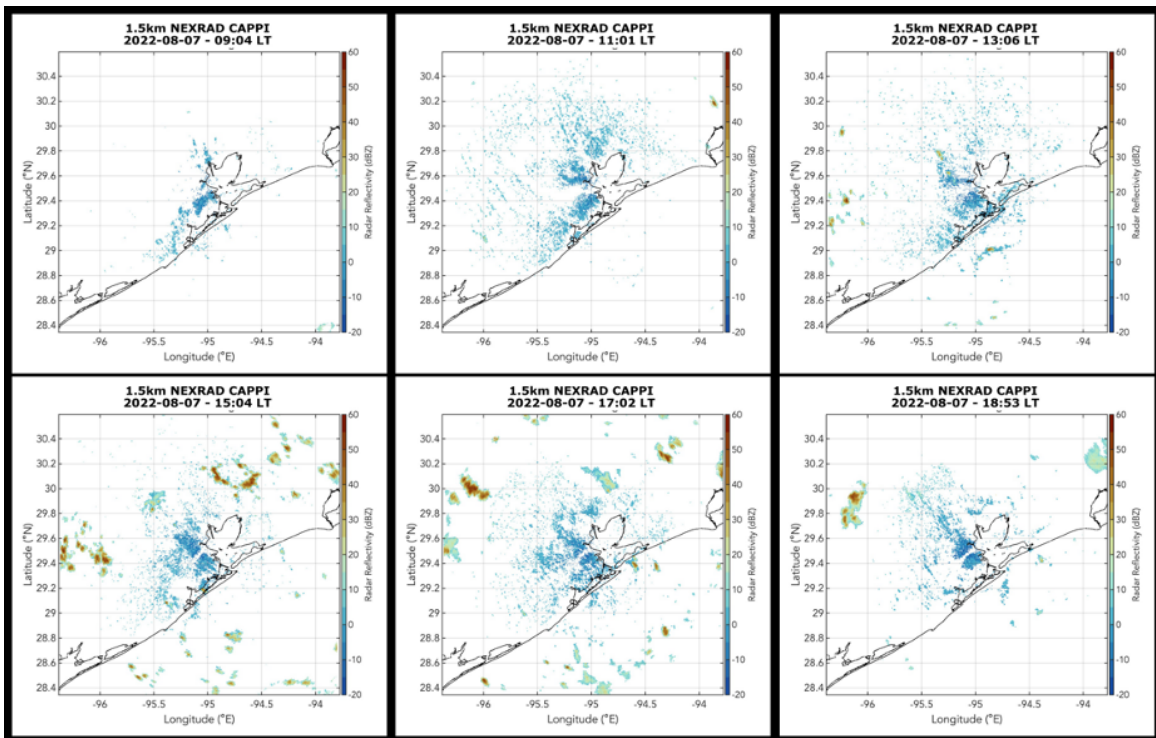


Figure B.4: August 7, 2022 NEXRAD CAPPI at 1.5km AGL every 2-hours during the daytime convective period shown in Local Time LT (courtesy of Zackary Mages, Stonybrook University).

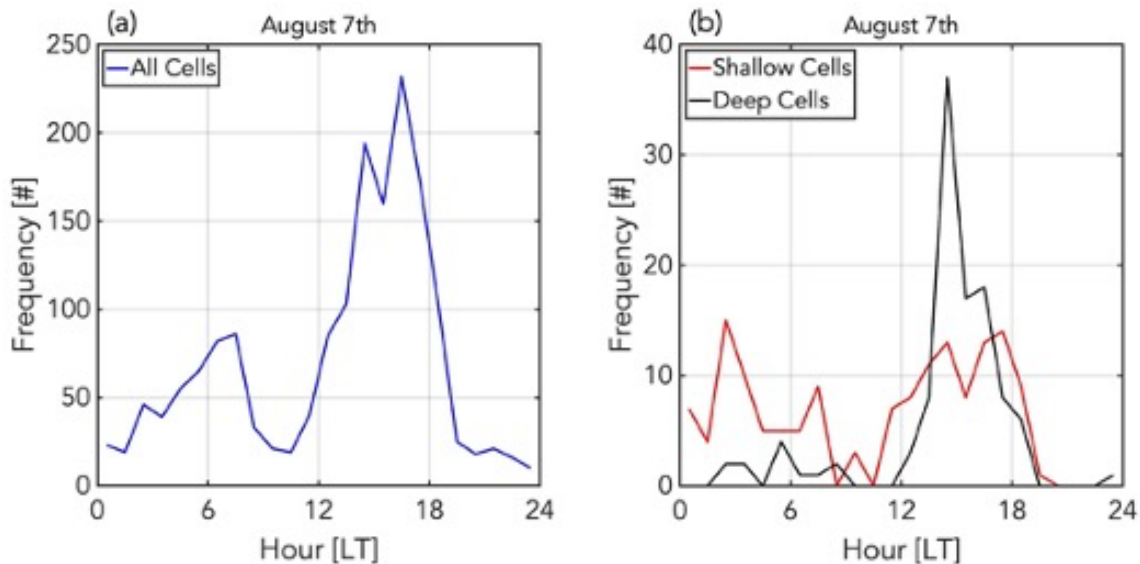


Figure B.5: August 7, 2022 frequency of occurrence of tracked cells using NEXRAD data and MCIT cell tracking algorithm (courtesy of Zackary Mages, Stonybrook University).

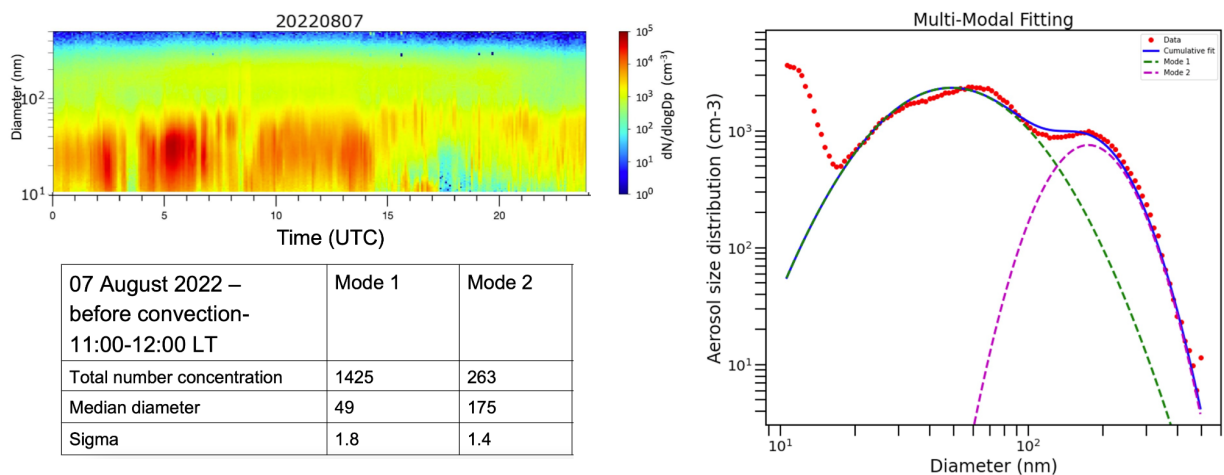


Figure B.6: August 7, 2022 (top left) diurnal variation of size distribution from SMPS, (right) multi-modal aerosol size distribution curve fitting, and (lower left) pre-convective aerosol distribution characterization values for model control run simulations. (Number concentrations are in units of $\#/\text{cm}^3$ and median diameters are in units of nanometers). (courtesy of Tamanna Subba and Chongai Kuang, BNL)

References:

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